

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5 Applicant(s): Shu-Wen Sung et al.

Serial No.: 10/063,822 Filing Date: 05/16/2002

Patent No.: US 6,936,860 B2 Issue Date: 08/30/2005

Examiner: NGUYEN, JOSEPH H Art Unit: 2815

Docket No.: KYCP0005USA

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Title: LIGHT EMITTING DIODE HAVING AN INSULATING
SUBSTRATE

15 To: Deposit Account Branch
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

20 Subject: Status Inquiry of the Request for Deduction

Dear Sir,

25 The undersigned agent filed a response to the office action of the
above-identified application on 01/21/2005 and authorized the USPTO
to deduct the excess claim fee \$200.00 from the Deposit Account No.
50-3105 (Attachment 1). But the USPTO deducted only \$100.00 on
02/08/2005.

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02/08 3	10063822	KYCP0005USA	2201	\$100.00	\$30,223.00
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The applicant further filed a request for deduction on 03/02/2005

(Please refer to the image documents dated 03-02-2005 in IFW). The request explained that the assignee's status as a Small Entity was removed (Attachment 2) and the USPTO should deduct the balance fee \$100.00. However, the USPTO deducted only \$50.00 on 07/19/2006.

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07/19	162	10063822	KYCP0005USA	1202	\$50.00	\$21,772.00
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Please deduct the remaining fee \$50.00 from the Deposit Account No. 50-3105 as soon as possible. Your quick response will be greatly appreciated.

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Respectfully submitted,



Date: 10/25/2007

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Winston Hsu, Patent Agent No. 41,526
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Note: Please leave a message in my voice mail if you need to talk to me.
(The time in D.C. is 12 hours behind the Taiwan time, i.e. 9 AM in D.C.
= 9 PM in Taiwan.)

Attachment 1

PTO/SB/17 (12-04)
Approved for use through 07/31/2005. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 200.00

Complete if Known	
Application Number	10/083,822
Filing Date	05/16/2002
First Named Inventor	Shu-Wen Sung
Examiner Name	NGUYEN, JOSEPH H
Art Unit	2815
Attorney Docket No.	KYCP0005USA

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 50-3105 Deposit Account Name: North America Intellectual Property Corp.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.18 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

HP = highest number of total claims paid for, if greater than 20

Indep. Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

HP = highest number of independent claims paid for, if greater than 3

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets **Extra Sheets** **Number of each additional 50 or fraction thereof** **Fee (\$)** **Fee Paid (\$)**

 - 100 = / 50 = (round up to a whole number) x =

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other:

SUBMITTED BY

Signature <u>Winston Hsu</u>	Registration No. <u>41,526</u> (Attorney/Agent)	Telephone <u>302-729-1562</u>
Name (Print/Type) <u>Winston Hsu</u>	Date <u>1/21/2005</u>	

This collection of information is required by 37 CFR 1.193. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
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P.O. Box 1450
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Attachemnt 2

Paper 15

NAIPO (NORTH AMERICA INTERNATIONAL PATENT OFFICE)
P.O. BOX 506
MERRIFIELD VA 22116

COPY MAILED

OCT 07 2004

OFFICE OF PETITIONS

In re Application of
Shu-Wen Sung et al.
Application No. 10/063,822
Filed: May 16, 2002
Attorney Docket No. KYCP0005USA

ON PETITION

This is in response to the paper filed September 8, 2004 under 37 CFR 1.27(g)(2) requesting that status as a Small Entity be removed.

In accordance with the September 8, 2004 request, status as a Small Entity has been removed.

Telephone inquiries concerning this matter may be directed to the undersigned
Petitions Attorney at (571) 272-3212.

Patricia Faison-Ball
Senior Petitions Attorney
Office of Petitions